

# Model-based Verification of Safety Contracts

Elena Gómez-Martínez<sup>†</sup>, **Ricardo J. Rodríguez**<sup>‡</sup>, Leire Etxeberria  
Elorza\*, Miren Illarramendi Rezabal\*, Clara Benac Earle<sup>†</sup>

{egomez,cbenac}@babel.ls.fi.upm.es, rj.rodriguez@unileon.es,

{letxeberrya,millarramendi}@mondragon.edu



<sup>†</sup>Technical University of Madrid  
Madrid, Spain



<sup>‡</sup>RIASC, University of León  
León, Spain

universidad  
de león



\*Mondragon Unibertsitatea  
Arrasate-Mondragón, Spain

September 1, 2014

**1st International Workshop on Safety & Formal Methods**  
Grenoble (France)

# Agenda

- 1 Introduction
- 2 Previous Concepts
- 3 Case Study: Trains Door Controllers
- 4 Safety Contract Fragment to OCL
- 5 From OCL constraints to Petri nets
- 6 Related Work
- 7 Conclusions and Future Work



# Agenda

- 1 Introduction
- 2 Previous Concepts
- 3 Case Study: Trains Door Controllers
- 4 Safety Contract Fragment to OCL
- 5 From OCL constraints to Petri nets
- 6 Related Work
- 7 Conclusions and Future Work



# Introduction (I): Motivation

## Safety assessment

- Needed by some systems (e.g. critical systems)
  - Industrial equipment, road vehicles, avionics. . .
  - Requirements specified by industrial standards (IEC-61508, ISO-26262, DO-178C)
- Later verification induces budget overruns
  - Example: Half of the overall costs in avionics software domain



# Introduction (II): Motivation

Safety assessment needs to be incorporated early into software design process



# Introduction (II): Motivation

Safety assessment needs to be incorporated early into software design process

## Contract-based design

- Popular approach for the design of complex systems
- Safety properties are difficult to guarantee → use of contracts



# Introduction (II): Motivation

Safety assessment needs to be incorporated early into software design process

## Contract-based design

- Popular approach for the design of complex systems
- Safety properties are difficult to guarantee → use of contracts

## Contracts

- Commonly used to specify relationships between system components
- Pre- and post-conditions of a system component
- Refinement idea: safety contract
  - Assumptions; Guarantees
  - Aim: to assure a certain level of confidence of a component

# Introduction (III)

## UML

- Well-known modelling language in the industry
- Vehicle to integrate safety requirements into software lifecycle



# Introduction (III)

## UML

- Well-known modelling language in the industry
- Vehicle to integrate safety requirements into software lifecycle
- Two (current) approaches:
  - Object Constraint Language
  - Specific UML profiles



# Introduction (III)

## UML

- Well-known modelling language in the industry
- Vehicle to integrate safety requirements into software lifecycle
- Two (current) approaches:
  - Object Constraint Language
  - Specific UML profiles

## Merging two domains...

- **UML**: Standard engineering practice
  - UML SM and UML SD: Dynamic part of the system
  - UML Composite diagram: Static one → enriched with safety contracts
  - UML profile (MARTE): Performance system information
  - Representation of safety contracts as OCL constraints

# Introduction (III)

## UML

- Well-known modelling language in the industry
- Vehicle to integrate safety requirements into software lifecycle
- Two (current) approaches:
  - Object Constraint Language
  - Specific UML profiles

## Merging two domains...

- **UML**: Standard engineering practice
  - UML SM and UML SD: Dynamic part of the system
  - UML Composite diagram: Static one → enriched with safety contracts
  - UML profile (MARTE): Performance system information
  - Representation of safety contracts as OCL constraints
- **Petri nets**: Formal safety analysis
  - Compute probabilities of reaching “safe conditions”

# Agenda

- 1 Introduction
- 2 Previous Concepts**
- 3 Case Study: Trains Door Controllers
- 4 Safety Contract Fragment to OCL
- 5 From OCL constraints to Petri nets
- 6 Related Work
- 7 Conclusions and Future Work



# Previous Concepts (I)

## UML and UML profiles

- Semi-formal modelling language

# Previous Concepts (I)

## UML and UML profiles

- Semi-formal modelling language
- Tailored for specific domains by **profiling**
  - Stereotypes: Concepts in the target domain
  - Tagged values: Stereotype attributes
- Enriches UML semantics, commonly used for NFPs specification

# Previous Concepts (I)

## UML and UML profiles

- Semi-formal modelling language
- Tailored for specific domains by **profiling**
  - Stereotypes: Concepts in the target domain
  - Tagged values: Stereotype attributes
- Enriches UML semantics, commonly used for NFPs specification
- Profile examples:
  - **Modelling and Analysis of RT and Embedded systems (MARTE)**
    - Generic Quantitative Analysis Model framework, gaStep stereotype (activity durations)
  - Dependability Analysis and Modelling (DAM)
  - Security Analysis and Modelling (SecAM)

## Previous Concepts (II)

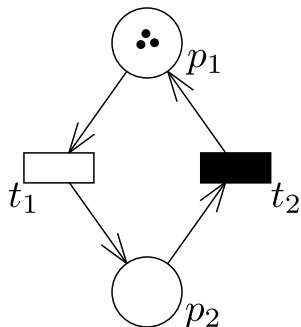
- UML + MARTE not suitable for performance evaluation or model-checking
- Formal models may help for this goal
  - UML + MARTE → Petri nets (namely, Generalised Stochastic PN)





# Previous Concepts (II)

- UML + MARTE not suitable for performance evaluation or model-checking
- Formal models may help for this goal
  - UML + MARTE → Petri nets (namely, Generalised Stochastic PN)



## GSPN

- Bipartite graph
- Places (circles,  $p_X$ )
- Transitions (bars,  $t_X$ )
  - Immediate ( $t = 0$ )
  - Timed (exponential, deterministic firing distributions)
- Arcs (with directions, and weight)
- Tokens

# Agenda

- 1 Introduction
- 2 Previous Concepts
- 3 Case Study: Trains Door Controllers**
- 4 Safety Contract Fragment to OCL
- 5 From OCL constraints to Petri nets
- 6 Related Work
- 7 Conclusions and Future Work



# Case Study (I): TCMS

## Train Control and Management System

- Complex system distributed along the train
- Controls all train subsystems
- Composed of I/O modules plus PLCs and communication buses



# Case Study (I): TCMS

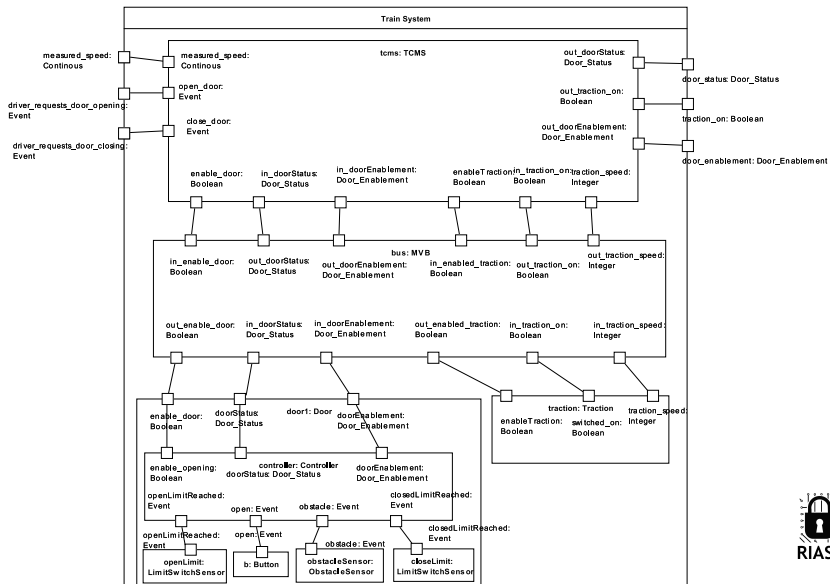
## Train Control and Management System

- Complex system distributed along the train
- Controls all train subsystems
- Composed of I/O modules plus PLCs and communication buses

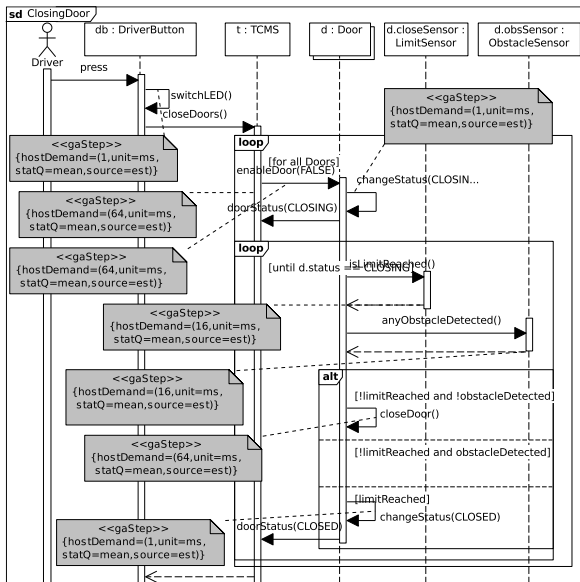
## Door control management

- Several actors involved: TCMS, Door, Traction, MVB
  - TCMS: Decides whether enabling or disabling the doors
  - Door: Enabled → opened; disabled → closed
  - Traction: Deals with train movement
  - Multifunction Vehicle Bus: Communicates all components among them

## Case Study (II): TCMS UML Composite Diagram



## Case Study (III): Door closing UML Sequence Diagram



## Case Study (IV): Some remarks

- Model is too complex (but also the life. . . )
- The TCMS needs to be safety-certified, no matter its complexity. . .



## Case Study (IV): Some remarks

- Model is too complex (but also the life. . . )
- The TCMS needs to be safety-certified, no matter its complexity. . .
- Contract-based design methodology
  - Separate components  $\mathcal{C} = \langle \mathcal{I}, \mathcal{O} \rangle$ : Safety and non-safety ones
  - They interact with the environment
  - Safety critical components are associated to safety contract fragments (SCF)





## Case Study (IV): Some remarks

- Model is too complex (but also the life...)
- The TCMS needs to be safety-certified, no matter its complexity...
- Contract-based design methodology
  - Separate components  $\mathcal{C} = \langle \mathcal{I}, \mathcal{O} \rangle$ : Safety and non-safety ones
  - They interact with the environment
  - Safety critical components are associated to safety contract fragments (SCF)

### Safety contract fragment $\mathcal{S}_c = \langle \mathcal{A}, \mathcal{G} \rangle$

- $\mathcal{A}$ : Assumptions on the component's environment
- $\mathcal{G}$ : What the component guarantees under such an environment
- A component *implements* its contract if it satisfies the guarantees when the environment meets the assumptions

## Safety contract transformed to OCL constraints



# Agenda

- 1 Introduction
- 2 Previous Concepts
- 3 Case Study: Trains Door Controllers
- 4 Safety Contract Fragment to OCL**
- 5 From OCL constraints to Petri nets
- 6 Related Work
- 7 Conclusions and Future Work



# Safety Contract Fragment to OCL (I)

SCF  $\mathcal{S}_c = \langle \mathcal{A}, \mathcal{G} \rangle$

- $\mathcal{A} = \mathcal{A}^+ \cup \mathcal{A}^*$  (assumptions, input ports)
- $\mathcal{G} = \mathcal{G}^+ \cup \mathcal{G}^*$  (guarantees, output ports)



# Safety Contract Fragment to OCL (I)

SCF  $\mathcal{S}_c = \langle \mathcal{A}, \mathcal{G} \rangle$

- $\mathcal{A} = \mathcal{A}^+ \cup \mathcal{A}^*$  (assumptions, input ports)
- $\mathcal{G} = \mathcal{G}^+ \cup \mathcal{G}^*$  (guarantees, output ports)

## OCL

- Express constraints within UML models
- Defined over a context that describes where constraint is acting
- OCL invariant:  $\mathcal{R} = \langle \mathcal{X}, \mathcal{V} \rangle$ 
  - $\mathcal{X}$ : Context
  - $\mathcal{V} = \langle ls, rs \rangle$  (joined by a boolean or implies operator)

# Safety Contract Fragment to OCL (I)

SCF  $\mathcal{S}_c = \langle \mathcal{A}, \mathcal{G} \rangle$

- $\mathcal{A} = \mathcal{A}^+ \cup \mathcal{A}^*$  (assumptions, input ports)
- $\mathcal{G} = \mathcal{G}^+ \cup \mathcal{G}^*$  (guarantees, output ports)

## OCL

- Express constraints within UML models
- Defined over a context that describes where constraint is acting
- OCL invariant:  $\mathcal{R} = \langle \mathcal{X}, \mathcal{V} \rangle$ 
  - $\mathcal{X}$ : Context
  - $\mathcal{V} = \langle ls, rs \rangle$  (joined by a boolean or implies operator)

Given a component  $\mathcal{C}$ , and  $\mathcal{S}_c = \langle \mathcal{A}, \mathcal{G} \rangle \rightarrow \mathcal{R} = \langle \mathcal{X}, \mathcal{V} \rangle$ ,  
 where  $\mathcal{X} = \mathcal{C}$  and  $\mathcal{V} = \langle \mathcal{A}, \mathcal{G} \rangle$



# Safety Contract Fragment to OCL (II): Examples (1)

**SR1.** *The door opening is not enabled when the traction is on or the train speed is distinct than zero*

- $S_1 = \langle (traction \text{ OR } (tractionSpeed \neq 0)), (NOT \text{ enableOpening}) \rangle$   
(TCMS)



# Safety Contract Fragment to OCL (II): Examples (1)

**SR1.** *The door opening is not enabled when the traction is on or the train speed is distinct than zero*

- $S_1 = \langle (traction \text{ OR } (tractionSpeed \neq 0)), (NOT \text{ enableOpening}) \rangle$   
(TCMS)

```
context TCMS_SR1
  inv: (traction or tractionSpeed <> 0)
      implies not enableOpening
```



# Safety Contract Fragment to OCL (II): Examples (1)

**SR1.** *The door opening is not enabled when the traction is on or the train speed is distinct than zero*

- $S_1 = \langle (traction \text{ OR } (tractionSpeed \neq 0)), (NOT \text{ enableOpening}) \rangle$   
(TCMS)

```
context TCMS_SR1
  inv: (traction or tractionSpeed <> 0)
      implies not enableOpening
```

**SR2.** *The door must be closed but remains open when some obstacle has been detected*

- $S_2 = \langle obstacle, doorStatus = opening \rangle$  (DoorController)





# Safety Contract Fragment to OCL (II): Examples (1)

**SR1.** *The door opening is not enabled when the traction is on or the train speed is distinct than zero*

- $S_1 = \langle (traction \text{ OR } (tractionSpeed \neq 0)), (NOT \text{ enableOpening}) \rangle$   
(TCMS)

```
context TCMS_SR1
  inv: (traction or tractionSpeed <> 0)
       implies not enableOpening
```

**SR2.** *The door must be closed but remains open when some obstacle has been detected*

- $S_2 = \langle obstacle, doorStatus = opening \rangle$  (DoorController)

```
context DoorController_SR2
  inv: obstacle
       implies (doorStatus = opening)
```



## Safety Contract Fragment to OCL (II): Examples (2)

**SR3.** *The door is closed when the door opening is enabled and the close event is received*

- $S_3 = \langle (enableOpening \text{ AND } close), doorStatus = isClosed \rangle$  (Door)



## Safety Contract Fragment to OCL (II): Examples (2)

**SR3.** *The door is closed when the door opening is enabled and the close event is received*

- $S_3 = \langle (enableOpening \text{ AND } close), doorStatus = isClosed \rangle$  (Door)

```
context Door_SR3
  inv: (enableOpening and close)
       implies doorStatus = isClosed
```



## Safety Contract Fragment to OCL (II): Examples (2)

**SR3.** *The door is closed when the door opening is enabled and the close event is received*

- $S_3 = \langle (enableOpening \text{ AND } close), doorStatus = isClosed \rangle$  (Door)

```
context Door_SR3
  inv: (enableOpening and close)
       implies doorStatus = isClosed
```

So, until here we have expressed safety contracts using OCL within UML. Now, **we express these constraints using Petri nets to verify them, check next slide!**



# Agenda

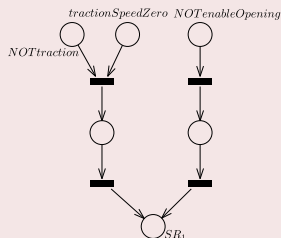
- 1 Introduction
- 2 Previous Concepts
- 3 Case Study: Trains Door Controllers
- 4 Safety Contract Fragment to OCL
- 5 From OCL constraints to Petri nets**
- 6 Related Work
- 7 Conclusions and Future Work



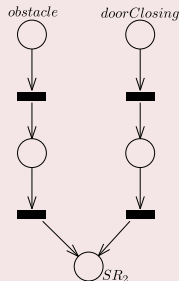
# From OCL constraints to Petri nets (I)

- Places representing each condition in the OCL invariant
- $p \Rightarrow q \Leftrightarrow \neg p \vee q$
- Compute the (output) place marking probabilities (by simulating)

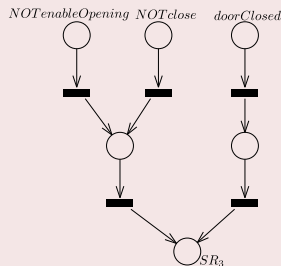
## SR1



## SR2

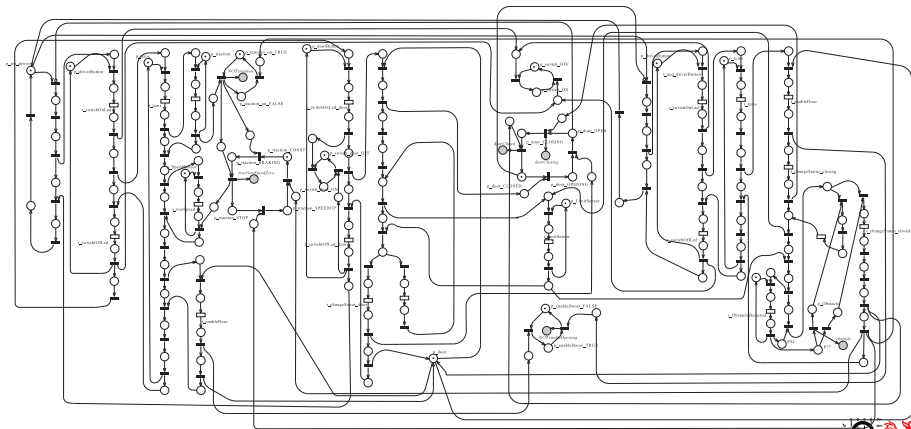


## SR3



# From OCL constraints to Petri nets (II)

## Petri net of the door controller



# Agenda

- 1 Introduction
- 2 Previous Concepts
- 3 Case Study: Trains Door Controllers
- 4 Safety Contract Fragment to OCL
- 5 From OCL constraints to Petri nets
- 6 Related Work**
- 7 Conclusions and Future Work





# Related Work (I)

## Formal expression of contracts

- Requirements Specification Language, Othello (based on LTL), Modal Transmission Systems
- **Advantage:** expressiveness
- **Disadvantages:**
  - Needed to learn a new formalism each time used
  - Lack of verification (some of them)



# Related Work (I)

## Formal expression of contracts

- Requirements Specification Language, Othello (based on LTL), Modal Transmission Systems
- **Advantage:** expressiveness
- **Disadvantages:**
  - Needed to learn a new formalism each time used
  - Lack of verification (some of them)

## Our proposal

- Enables to analyse also non-functional properties
- Safety contract fragments expressed as OCL
- Could complement OCRA analysis (non-functional properties)
- Strong, weak assumptions: Weak implicitly described with MARTE

# Agenda

- 1 Introduction
- 2 Previous Concepts
- 3 Case Study: Trains Door Controllers
- 4 Safety Contract Fragment to OCL
- 5 From OCL constraints to Petri nets
- 6 Related Work
- 7 Conclusions and Future Work**



# Conclusions and Future Work

- Contract-based design: Good approach for safety-critical systems
- Safety contracts expressed as OCL, and verified into the PN
- All this performed at design phase! → saves budget overruns

## Future Work

- Increase complexity of contracts expressed by OCL
  - Event order? Temporal information?
- Safety assessment methodology + a tool to automatise the process



# Conclusions and Future Work

- Contract-based design: Good approach for safety-critical systems
- Safety contracts expressed as OCL, and verified into the PN
- All this performed at design phase! → saves budget overruns

## Future Work

- Increase complexity of contracts expressed by OCL
  - Event order? Temporal information?
- Safety assessment methodology + a tool to automatise the process

## A last remark

- Final effort must be done in implementation
  - Assure it matches the system model, or otherwise it may lead the system to an unsafe system

- **Acknowledgements:** ARTEMIS JU nSafeCer, n° 295373



# Model-based Verification of Safety Contracts

Elena Gómez-Martínez<sup>†</sup>, **Ricardo J. Rodríguez**<sup>‡</sup>, Leire Etxeberria  
Elorza\*, Miren Illarramendi Rezabal\*, Clara Benac Earle<sup>†</sup>

{egomez,cbenac}@babel.ls.fi.upm.es, rj.rodriguez@unileon.es,

{letxeberrya,millarramendi}@mondragon.edu



<sup>†</sup>Technical University of Madrid  
Madrid, Spain



<sup>‡</sup>RIASC, University of León  
León, Spain

universidad  
de león



\*Mondragon Unibertsitatea  
Arrasate-Mondragón, Spain

September 1, 2014

**1st International Workshop on Safety & Formal Methods**  
Grenoble (France)